



ORRICK, HERRINGTON & SUTCLIFFE LLP
1900 MAIN STREET
SIXTH FLOOR
IRVINE, CA 92614
tel 949-567-6700
fax 949-567-6710
WWW.ORRICK.COM

FAX TRANSMISSION

DATE April 9, 2004

NO. OF PAGES 3
(INCLUDING COVER SHEET)

FROM

Name

tel

KENNETH S. ROBERTS, ESQ.
ATTORNEY FOR APPLICANT

TO

Name

company/firm

tel

fax

U.S. PATENT AND
TRADEMARK OFFICE

Attention: Office of Initial
Patent Examination's Filing
Receipt Corrections

(703) 746-9195

RE

Applicant: Li et al.

Serial No.: 10/669,231

Filed: 09/23/2003

For: INTEGRATED, INTELLIGENT MICRO-
INSTRUMENTATION PLATFORM FOR PROTEIN
CRYSTALLIZATION

Orrick Matter: 703538.4022

MESSAGE

Faxed herewith is a copy of the updated filing receipt regarding the above-identified patent application. Please correct the attorney docket no. to indicate 703538.4022. Thank you.

C-M-A

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL MARY L. SMITH AT 949-852-7781 AS
SOON AS POSSIBLE.

notice to recipient

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED TO BE SENT ONLY TO THE STATED ADDRESSEE OF THE TRANSMISSION. IT MAY BE PROTECTED FROM UNAUTHORIZED USE OR DISSEMINATION BY THE ATTORNEY-CLIENT PRIVILEGE, THE ATTORNEY WORK-PRODUCT DOCTRINE, OR ANY OTHER APPLICABLE PRIVILEGE. IF YOU ARE NOT THE STATED ADDRESSEE, YOUR RECEIPT OF THIS TRANSMISSION WAS UNINTENDED AND INADVERTENT, AND YOU ARE HEREBY NOTIFIED THAT ANY REVIEW, USE, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. YOU ARE ALSO ASKED TO NOTIFY US IMMEDIATELY BY TELEPHONE AND TO RETURN THE ORIGINAL DOCUMENT TO US IMMEDIATELY BY MAIL AT THE ADDRESS ABOVE. THANK YOU IN ADVANCE FOR YOUR COOPERATION.

DOCSOC1:149616.1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1459
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLMS	IND CLMS
10/669,231	09/23/2003	2171	815	703538.4022	6	3	2

Correct no.

CONFIRMATION NO. 3565

34313

ORRICK, HERRINGTON & SUTCLIFFE, LLP
4 PARK PLAZA
SUITE 1600
IRVINE, CA 92614-2558

UPDATED FILING RECEIPT

OC000000012276189

Date Mailed: 04/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guann-Pyng Li, Irvine, CA;
Mark Bachman, Irvine, CA;
Abraham P. Lee, Irvine, CA;
Nancy L. Albritton, Irvine, CA;
Brigitte Schobert, Irvine, CA;
Noo Li Jeon, Irvine, CA;
Patrick Coffey, Irvine, CA;
Janos K. Lanyi, Irvine, CA;
Liang Li Wu, Irvine, CA;

Assignment For Published Patent Application

The Regents of the University of California;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/413,122 09/23/2002

Foreign Applications

If Required, Foreign Filing License Granted: 12/13/2003

Projected Publication Date: 07/15/2004

Non-Publication Request: No

ORRICK IP PROSECUTION

Due Date 4/18/04Action Item 105-5th re-revinder

Date: 4/18/04

4/8/04
ORRICK
RECEIVED

APR 08 2004

IRVINE OFFICE

Early Publication Request: No

**** SMALL ENTITY ****

Title

Integrated, intelligent micro-instrumentation platform for protein crystallization

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).